

RIZE GLOBAL SUSTAINABLE INFRASTRUCTURE UCITS ETF

FACTSHEET | April 2024

All data information collected as of 30 April 2024

FUND SUMMARY

The Rize Global Sustainable Infrastructure UCITS ETF (NFRA) seeks to invest in the foremost companies that potentially stand to benefit from the worldwide effort to support infrastructure development in a way that balances economic, environmental and social objectives. These are companies involved in maintaining and upgrading the existing infrastructure of more industrialised economies, as well as companies developing new infrastructure required for the economic advancement of less industrialised economies. This includes companies involved in the development of the environmental and social infrastructure needed for our transition to a greener, fairer economy. The investment categories include (i) Transportation Infrastructure, (ii) Environmental Infrastructure, (iii) Data and Telecom Infrastructure; and (iv) Social Infrastructure.

WHY NFRA?

→ Favourable Growth Prospects

NFRA is Europe's first global sustainable infrastructure ETF, and provides investors with exposure to companies that are supporting global infrastructure development in a way that balances economic, environmental and social objectives.

→ Powered by Sustainable Market Strategies®

NFRA is purpose-built in collaboration with Sustainable Market Strategies, an independent ESG intelligence firm based in Montreal, Canada, and leverages their unique insights and proprietary classification system of companies that are contributing to environmental and social objectives of the EU Taxonomy for Sustainable Activities and the UN Sustainable Development Goals in the geographical regions they serve.

→ Unconstrained Approach

NFRA's composition transcends classic sector, size and geographic classifications by tracking a global theme.

→ ETF Efficiency

In a single trade, NFRA delivers access to dozens of companies that are favourably positioned to ride the tailwinds of the global sustainable infrastructure theme.

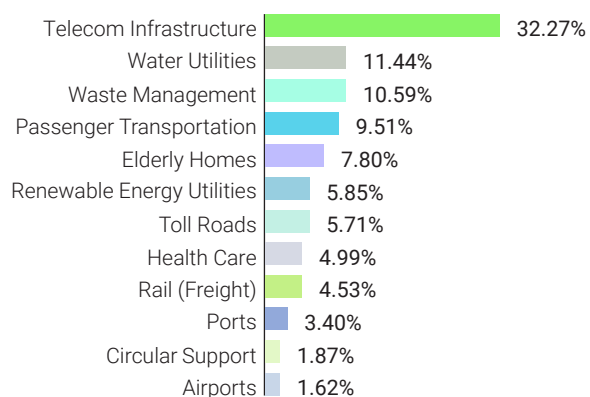
FUND DETAILS

ETF	Rize Global Sustainable Infrastructure UCITS ETF
Index Name	Foxberry SMS Global Sustainable Infrastructure Index
ISIN	IE000QUCVEN9
Fund Size (AUM)	\$94.50 Million
TER	0.45%
Inception Date	17 August 2023
SFDR Classification	Article 9
Holdings	76
Base Currency	USD
Income Treatment	Distributing
Replication	Physical - Full Replication
Index Ticker	FXBYNFRA Index
Exposure	Global Equities
Domicile	Ireland
UCITS	Yes
Issuer	ARK Invest UCITS ICAV
Promoter	ARK Invest International Ltd
Manager / Investment Manager	IQ EQ Fund Management (Ireland) Limited
Depository / Custodian	Northern Trust Fiduciary Services (Ireland) Ltd
ISA Eligible (UK)	Yes
SIPP Eligible (UK)	Yes
Reporting Fund Status (UK)	Yes
Equity Fund (DE)	Yes

TRADING INFORMATION

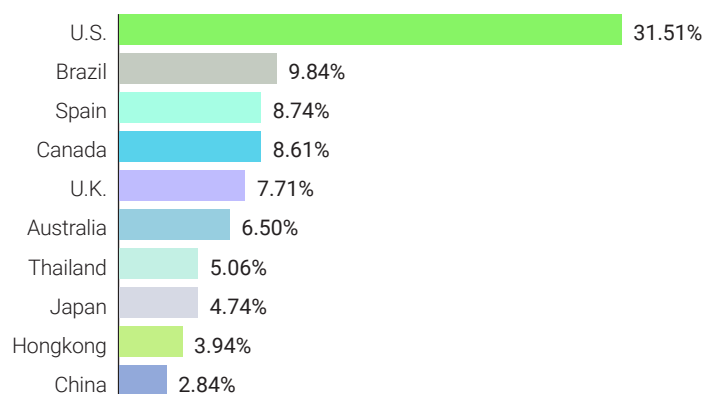
Exchange	Currency	Listing Date	SEDOL	Bloomberg Ticker	RIC
Deutsche Börse Xetra	EUR	25-Aug-23	BLD19V0	RIZD GY	RIZD.DE
London Stock Exchange	USD	29-Aug-23	BLD1932	NFRA LN	NFRA.L
London Stock Exchange	GBP	29-Aug-23	BLD19Z4	BRIK LN	BRIK.L
SIX Swiss Exchange	CHF	05-Mar-24	BLD19T8	NFRA SE	NFRA.S

FUND SECTOR WEIGHTS



*Totals may not sum to 100% due to rounding

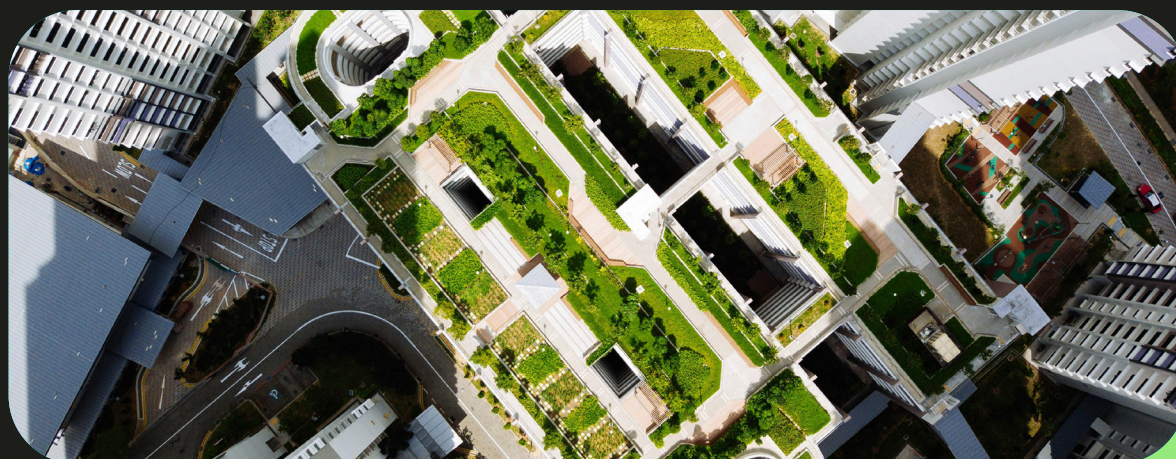
TOP 10 COUNTRIES



TOP 10 HOLDINGS

Telefonica S A	2.07%
Santos Brasil Participacoes Sa	2.03%
Acciona Sa	1.96%
Bts Group Holdings Pcl	1.93%
Redeia Corporacion Sa	1.87%

China Tower Corp Ltd	1.82%
Swisscom Ag	1.81%
Tenet Healthcare Corp	1.81%
Vodafone Group	1.81%
Waste Mgmt Inc Del	1.80%



SUSTAINABILITY PROFILE

→ MSCI ESG Rating: A

→ Engagement and Voting: The Fund is supported by the engagement and voting programs of the Rize Future First Policy.

Screening Criteria

- Thermal Coal
- Oil and Gas
- Nuclear
- Controversial Weapons and Firearms
- Military Contracting
- Gambling
- Alcohol
- Tobacco
- Adult Entertainment
- Bribery and Corruption
- UN Global Compact and OECD Guidelines Violations
- Controversies
- Poor Governance

COUNTRY REGISTRATION

-  Austria
-  Denmark
-  Finland
-  Germany
-  Ireland
-  Italy
-  Luxembourg
-  Netherlands
-  Norway
-  Spain
-  Sweden
-  Switzerland
-  United Kingdom

RISK FACTORS

- The Fund may be subject to renewable energy sector risks including, fluctuating energy prices which impact revenues, weather fluctuations that impact electricity generation, the risk that existing supportive regulatory frameworks become less supportive and the cost of producing renewable energy (which pose a risk to the long term economic viability of renewable energy ventures).
- The Fund may be subject to the risks associated with companies developing technologies associated with renewable energy, energy efficiency, electrification of vehicles and transport, water treatment and purification and waste management, recycling and pollution control, including the risk arising from rapidly changing technologies and obsolescence of existing products; fierce competition from competitors with lower costs; aggressive pricing and reduced profit margins; the loss of patent, copyright and trademark protections; cyclical market patterns; evolving industry standards, evolving regulatory frameworks; and frequent new product introductions.
- The Fund may also be subject to emerging market risks including: (i) political and economic risks; (ii) counterparty risk and liquidity risks; (iii) legal risks; (iv) reporting and valuation risks; (v) exchange control and repatriation risks; (vi) settlement risks; and (vii) custody risks.
- Some companies may be smaller and less experienced companies, with limited product lines, markets or financial resources and fewer experienced management or marketing personnel. They may experience extreme price and volume fluctuations that are often unrelated to their operating performance.
- The Index is typically comprised of a mix of micro, small, mid and large capitalisation companies. Micro and small capitalisation companies may be more vulnerable to adverse business or economic events than larger, more established companies and may underperform other segments of the market or the equity market as a whole. Securities of micro and small capitalisation companies generally trade in lower volumes, are less liquid and are often more vulnerable to market volatility and greater and more unpredictable price changes than larger capitalisation stocks or the stock market as a whole.
- Other: (1) Third party service providers (such as the ICAV's depositary) may go bankrupt and fail to pay money due to the Fund or return property belonging to the Fund. (2) If the Index provider stops calculating the Index or if the Fund's license to replicate the Index is terminated, the Fund may have to be closed. (3) It may not always be possible to buy and sell the Fund's Shares on a stock exchange or at prices closely reflecting the Net Asset Value. (4) There is no capital guarantee or protection on the value of the Fund and investors can lose all the capital invested in the Fund. (5) Please refer to the "Risk Factors" section of the ICAV's Prospectus and the Fund Supplement.

DISCLAIMER

Marketing Communication / Financial Promotion:

Communications issued in the European Economic Area ("EEA"): This marketing communication has been issued by IQ EQ Fund Management (Ireland) Limited ("IQ EQ") acting in its capacity as management company of ARK Invest UCITS ICAV ("ARK Invest"). IQ EQ is authorised and regulated by the Central Bank of Ireland. IQ EQ is registered in Ireland with registration number 148223.

Communications issued in jurisdictions outside of the EEA: This marketing communication has been issued by ARK Invest International Ltd ("ARK UK") which is an Appointed Representative of Aldgate Advisors Limited, a firm authorised and regulated by the Financial Conduct Authority (FCA FRN 763187). ARK UK is registered in England and Wales with registration number 11770079.

This is a marketing communication. This is not a contractually binding document. Please refer to the prospectus, Fund-specific supplement and Key Investor Information Document (the "KIID") or Key Investor Document (the "KID") (as relevant) of the relevant Fund and do not base any final investment decision on this communication alone.

You should seek professional investment advice before making any decision to invest in a Fund.

This marketing communication is not being provided to you on the basis that you are a client of IQ EQ or ARK UK. Neither IQ EQ nor ARK UK is acting on your behalf and neither entity is responsible for providing protections that would otherwise be afforded to clients of IQ EQ or ARK UK. This marketing communication is for information purposes only. Its contents, and the fact of its distribution, do not constitute investment advice, nor do they constitute tax, legal or any other form of advice or service. It does not constitute or form part of any offer to issue or sell, or the solicitation of any offer to buy or sell any investment. It shall not form the basis of, or be relied upon in connection with, any contract. Information and opinions contained herein have been compiled from sources believed to be reliable but neither IQ EQ nor ARK UK nor any of their respective partners makes any representations as to its accuracy or completeness. Any opinions, forecasts or estimates herein constitute a judgement that is subject to change without notice. IQ EQ and ARK UK disclaim all liability and responsibility arising from any reliance placed by any person on the information contained within this marketing communication.

Where past performance and projected performance information is shown, it must be noted that past performance and projected performance is not a reliable indicator of future performance. Simulated past performance does not represent actual past performance and is not a reliable indicator of future performance.

The Rize Global Sustainable Infrastructure UCITS ETF replicates the Foxberry SMS Global Sustainable Infrastructure USD Net Total Return Index. An investment in the Fund involves significant risk and is subject to the volatility of companies involved in the renewable energy, energy efficiency, electric vehicles, water, waste and recycling sectors and associated technologies and emerging market political and economic risks.

Capital at Risk Warning – Please note that the value of an investment and any income taken from it is not guaranteed and can go down as well as up. You may not get back the amount you originally invested. If your investment currency is different to the Funds' currency of denomination (USD) or the currencies in which the Funds' assets are denominated (which may be a range of different global currencies), then the return you will get from your investment may increase or decrease as a result of currency fluctuations between your investment currency and such currencies.

The Funds referred to in this marketing communication are offered by ARK Invest UCITS ICAV ("ARK Invest"). ARK Invest is an open-ended Irish collective asset management vehicle which is constituted as an umbrella fund with variable capital and segregated liability between its sub-funds (each, a "Fund") and registered in Ireland with registration number C193010 and authorised by the Central Bank of Ireland as a UCITS. ARK Invest is managed by IQ EQ Fund Management (Ireland) Limited ("IQ EQ"). The prospectus (including the Fund-specific supplements and other supplements), the KIIDs/KIDs the constitutional document of ARK Invest and the latest annual and semi-annual reports of ARK Invest, the latest Net Asset Values of the Funds and details of the underlying investments of the Funds (together, the "Fund Information") are available at <https://europe.ark-funds.com/>. Any decision to invest must be based solely on the Fund Information. Investors should read the Fund-specific risks in ARK Invest's prospectus, Fund-specific supplements and the KIIDs/KIDs. The indicative intra-day net asset values of the Funds are available at <http://www.solactive.com>.

The Funds are not offered or aimed at residents in any country in which (a) ARK Invest and the Funds are not authorised or registered for distribution and where to do so is contrary to the relevant country's securities laws, (b) the dissemination of information relating to ARK Invest and the Funds via the internet is forbidden, and/or IQ EQ or ARK UK are not authorised or qualified to make such offer or invitation.

The Funds may be registered or otherwise approved for distribution to the public or certain categories of investors in one or more jurisdictions. Where this is the case, a country-specific web page and copies of the Fund Information will be available at <https://europe.ark-funds.com/>. The fact of such a registration or approval, however, does not mean that any regulator (including the FCA) has determined that the Funds are suitable for all categories of investors.

United Kingdom: This is a financial promotion. For the purposes of the United Kingdom Financial Services and Markets Act 2000 ("FSMA"), ARK Invest is a UCITS that has been recognised by the Financial Conduct Authority (the "FCA") pursuant to s.264 of the UK Financial Services and Markets Act 2000. The Fund Information is available in English free of charge upon request from the Facilities Agent in the United Kingdom, FE fundinfo (UK) Limited, Unit 1.1, First Floor, Midas House, 62 Goldsworth Road, Woking, Surrey, GU21 6LQ, England.

Germany: This is a financial promotion. The offering of the Shares of ARK Invest has been notified to the German Financial Services Supervisory Authority (BaFin) in accordance with section 310 of the German Investment Code (KAGB). The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Germany, FE fundinfo (Luxembourg) S.à.r.l., by contacting fa_gfr@fundinfo.com or in paper form at the Facilities Agent's registered office, being 77 Rue du Fossé, 4123 Esch-sur-Alzette, Luxembourg.

Switzerland: This is an advertising document. The state of the origin of the fund is Ireland. In Switzerland, the Representative in Switzerland is 1741 Fund Solutions AG, Burggraben 16, CH-9000 St. Gallen. The Paying Agent in Switzerland is Telco AG, Bahnhofstrasse 4, 6430 Schwyz. The Fund Information may be obtained free of charge from the Representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units in the Fund.

Austria: This is a marketing communication and serves exclusively as information for investors. Under no circumstances may it replace advice regarding the acquisition and disposal of investments which may result in a total loss of the investment. The Fund Information in English (and the KIDs in German language) can be obtained free of charge upon request from the Facilities Agent in Austria, FE fundinfo (Luxembourg) S.à.r.l., by contacting fa_gfr@fundinfo.com.

United States: This marketing communication and its contents are not directed at any person that is resident in the United States ("US person"), and no offer or invitation is made to any US person to acquire or sell any service, product or security referred to. The provision of any information in this marketing communication does not constitute an offer to US persons to purchase securities.